## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

JANG, Eun Joo et al

Appl. No.:

NEW

Group:

Filed:

February 25, 2004

Examiner:

For:

THE IMPROVEMENT OF THE LUMINESCENT

EFFICIENCY OF SEMICONDUCTOR NANOCRYSTAL

COMPOUNDS BY SURFACE TREATMENT

INFORMATION DISCLOSURE STATEMENT (SUBMISSION CONCURRENT WITH THE FILING OF A NEW PATENT APPLICATION)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

February 25, 2004

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

## I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

## II. COPIES

- a. This application was filed before June 30, 2003.

  Accordingly, submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. A This application was filed on or after June 30, 2003. Accordingly, copies of cited US patents and patent application publications therefore are not included. Copies of foreign patent documents and non-patent literature are included.

c. 🗍 This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were in the International Search Report copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned. III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) a. X DOCUMENTS IN THE ENGLISH LANGUAGE The patents, publications, or other information listed on the attached PTO 1449 are in the English language and therefore, do not require a statement of relevancy. b.  $\Box$ DOCUMENTS NOT IN THE ENGLISH LANGUAGE A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows: c. ENGLISH LANGUAGE SEARCH REPORT An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for explanation. See MPEP 609(III)(A)(3).

The following additional information is provided

for the Examiner's consideration.

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OTHER

d.

## FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

Box 747

JAK/tmr 3811-0139P Falls Church, VA 22040-0747

(703) 205-8000

Attachment(s): Form PTO-1449(s)

 $\boxtimes$ Documents

Foreign Search Report

Fee

Other:

(Rev. 02/12/2004)

Form PTO-1449					ATTY. DOCKET NO. 3811-0139P		APPLICATION NO. NEW		
INFORMATION DISCLOSURE CITATION  IN AN APPLICATION  (Use several sheets if necessary)					JANG, Eun Joo et al				
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	JACS Articles, Zhong et al, vol. 125, no. 28, 2003, pages 8589-8594								
EXAMINER		·		DATE CONSIDERED	DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									